

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of

Docket No: A7483

Xiao-Mai ZHOU

Appln. No.: 09/580,523

Group Art Unit: 1642

Confirmation No.: 8284

Examiner: Minh Tam Davis

Filed: May 30, 2000

For: COMPOUNDS AND METHODS FOR REGULATING APOPTOSIS, AND METHODS  
OF MAKING AND SCREENING FOR COMPOUNDS THAT REGULATE APOPTOSIS

**REQUEST FOR NOTICE OF RECORDATION OF ASSIGNMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith is a copy of an executed Assignment signed by Xiao-Mai Zhou on  
May 22, 2000. This executed Assignment, the enclosed Assignment Cover Sheet - PTO  
Modified Form PTO-1595, and payment in the amount of \$40.00 were previously filed with the  
application on August 4, 2000. A request for the Notice of Recordation of Assignment is  
submitted, respectfully.

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

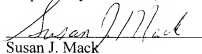
WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: September 20, 2006

Respectfully submitted,



Susan J. Mack

Registration No. 30,951

ASSIGNMENT

WHEREAS, the following individual:

- Xiao-Mai Zhou, Brookline, MA, US,

hereinafter called "Assignor", has invented certain improvements in the following patent application, as identified below:

U.S. Provisional Patent Application Serial No. 60/136,783, hereinafter called "the '783 provisional application", filed May 28, 1999, entitled "PROTEINS FOR CONTROLLING APOPTOSIS, ANTIBODIES THERETO, METHOD OF CONTROLLING APOPTOSIS, AND ASSAYS FOR APOPTOSIS CONTROL AGENTS" naming the above individual as inventor;

WHEREAS, APOPTOSIS TECHNOLOGY, INC., a U.S. corporation organized under the laws of the state of Massachusetts, having its principal place of business at 148 Sidney Street, Cambridge, MA 02139-4239, US, desires to acquire the entire right, title and interest in the '783 provisional application and the invention disclosed and claimed therein with respect to any Letters Patent issuing thereon, or issuing on any subsequently filed United States patent applications which claim the benefit of the provisional application's filing date; and

FILED

NOW, THEREFORE, for One Dollar (\$1.00) and other valuable consideration, receipt whereof is hereby acknowledged,

AUG - 4 2000

The above named Assignor hereby sells, assigns and transfers to APOPTOSIS TECHNOLOGY, INC., its successors and assigns, the entire right, title and interest in the '783 provisional application and the invention disclosed therein for the United States of America, and all countries foreign thereto including rights of priority under the International Convention of Paris (1883) as amended, and as to the United States, we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in the application to APOPTOSIS TECHNOLOGY, INC., its successors and assigns; and I hereby agree that APOPTOSIS TECHNOLOGY, INC. may apply for foreign Letters Patents on the invention and I will execute without further consideration all papers deemed necessary by APOPTOSIS TECHNOLOGY, INC. in connection with the United States and foreign applications when called upon to do so by APOPTOSIS TECHNOLOGY, INC.

Date: May 22, 2000

Xiao-mai Zhou  
Xiao-Mai Zhou

RECORDATION FORM COVER SHEET  
PATENTS ONLY

To the Assistant Commissioner of Patents. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Xiao-Mai ZHOU

Additional name(s) of conveying party(ies) attached?

☐ yes

☒ no

3. Nature of Conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Names

☐ Other

Execution Date: May 22, 2000

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)  
09/580,523

B. Patent No.(s)

Additional numbers attached?

☐ Yes

☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

SUGHRUE, MION, ZINN, MACPEAK & SEAS, PLLC  
2100 Pennsylvania Avenue, N.W.  
Suite 800  
Washington, D.C. 20037-3213

6. Total number of applications and registration involved:  
1

7. Total Fee (37 CFR 3.41): \$40.00

☒ Enclosed. Please charge any underpayment in connection with this Assignment to Deposit Account No. 19-4880.

☐ Authorized to be charged to Deposit Account

8. Deposit Account No.

19-4880

DO NOT WRITE IN THIS SPACE

9. Statement and Signature.

To the best of my knowledge and belief the foregoing information is true and correct and any attached copy is a true copy of the original document.

Waddell A. Biggart

Reg. No. 24,861

August 4, 2000

Date

TOTAL NUMBER OF PAGES COMPRISING COVER SHEET, ATTACHMENTS AND DOCUMENT: 2

OMB No. 0651-0011 (exp. 4/94)

Do not detach this portion

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner of Patents

Box Assignments

Washington, D.C. 20231